

1 are, in fact, guilty of the charges in Count 57, and it
2 appears to me you understand your rights under the
3 constitution and laws of the United States, including
4 your right to jury trial --

5 DEFENDANT BARNABAS: Yes, your Honor.

6 THE COURT: -- and it appears to me you
7 understand this complex charge against you, that you
8 have had the effective assistance of counsel, you know
9 the maximum possible punishment in the case, and it also
10 appears to me that your decision to plead guilty is
11 voluntary and knowing --

12 DEFENDANT BARNABAS: Yes, your Honor.

13 THE COURT: -- and there is a factual basis
14 for you to plead guilty, I accept your plea of guilt and
15 enter a judgment of guilt based on your plea of guilty.

16 Mr. Ehmen, I do not believe I asked you
17 before, individually, as to whether you understand the
18 maximum possible punishment in the case.

19 DEFENDANT EHMEN: Yes, I do, your Honor.

20 THE COURT: And you might recall that I went
21 over that with Dr. Barnabas. As I recall, it was twenty
22 years imprisonment, a fine of at least \$250,000, but
23 there is a multiplier of up to 2 in the event that the
24 gain to you or the loss to the victims was greater than
25 \$250,000. It's very likely the fine would be over

1 \$250,000. Also, by law, I would be required to impose a
2 special assessment of \$100 at the time of sentencing,
3 that would be due at the time of sentencing. And then I
4 discussed with you earlier the likelihood there would be
5 a forfeiture order of at least a million dollars as to
6 you.

7 DEFENDANT EHMEN: Yes, your Honor.

8 THE COURT: Do you understand all that?

9 DEFENDANT EHMEN: Yes, your Honor.

10 THE COURT: Now, what plea do you wish to
11 enter to Count 57 of the indictment, guilty or not
12 guilty?

13 DEFENDANT EHMEN: Guilty, your Honor.

14 THE COURT: Since you do acknowledge that you
15 are guilty as charged in Count 57, and it appears to me
16 you understand the charge against you in Count 57 as,
17 well as your rights under the constitution and laws of
18 the United States, including your right to jury trial,
19 you certainly understand the maximum possible punishment
20 in the case, you have had the effective assistance of
21 counsel, and it certainly appears to me that your
22 decision to plead guilty is knowing and voluntary, and,
23 finally, there is an evidentiary basis or a factual
24 basis for you to plead guilty, I accept your plea of
25 guilt and enter a judgment of guilt as to Count 57.

EXHIBIT E

**Payments Braddock Management LP / Bainbridge Management LP Identified For
Period 2/25/1998 Through 2/25/2002**

PAYEE	CHECK # / WIRE	DATE CLEARED BANK	AMOUNT
BAINBRIDGE MGMT	101826	3/18/1998	197,395.64
BAINBRIDGE MGMT	102503	4/17/1998	145,008.71
BAINBRIDGE MGMT	102882	4/28/1998	441,992.67
BAINBRIDGE MGMT	103500	5/27/1998	490,476.61
BAINBRIDGE MGMT	104162	6/25/1998	283,769.89
BAINBRIDGE MGMT	98012	7/7/1998	309,862.67
BAINBRIDGE MGMT	104497	7/7/1998	862,887.00
BAINBRIDGE MGMT	105692	8/26/1998	242,676.10
BAINBRIDGE MGMT	106534	9/25/1998	290,546.51
BAINBRIDGE MGMT	107232	10/27/1998	269,165.74
BAINBRIDGE MGMT	107966	11/24/1998	253,829.34
BAINBRIDGE MGMT	108637	12/22/1998	254,345.39
BAINBRIDGE MGMT	98072	12/29/1998	316,459.54
BAINBRIDGE MGMT	108876	12/29/1998	27,511.00
BAINBRIDGE MGMT	109891	2/25/1999	281,980.68
BAINBRIDGE MGMT	110776	4/5/1999	246,577.30
BAINBRIDGE MGMT	98112	4/12/1999	571,114.46
BAINBRIDGE MGMT	112674	5/14/1999	282,931.36
BAINBRIDGE MGMT	112862	5/27/1999	296,421.54
BAINBRIDGE MGMT	WIRE	5/28/1999	1,137,337.98
BAINBRIDGE MGMT	113435	6/21/1999	255,223.77

BAINBRIDGE MGMT	115105	8/31/1999	248,230.97
BAINBRIDGE MGMT	115155	9/30/1999	250,283.39
BAINBRIDGE MGMT	115967	10/14/1999	209,642.80
BAINBRIDGE MGMT	116516	11/4/1999	563,056.70
BAINBRIDGE MGMT	117212	12/9/1999	235,016.04
BAINBRIDGE MGMT	117909	12/29/1999	260,998.92
BAINBRIDGE MGMT	118348	1/25/2000	236,670.08
BAINBRIDGE MGMT	119464	3/25/2000	275,471.93
BAINBRIDGE MGMT	111452	4/14/2000	641,264.01
BAINBRIDGE MGMT	120735	5/30/2000	122,919.49
BAINBRIDGE MGMT	123493	10/2/2000	529,942.88
BAINBRIDGE MGMT	111496	10/24/2000	382,345.00
BAINBRIDGE MGMT	124873	12/18/2000	87,298.92
BAINBRIDGE MGMT	125867	1/31/2001	140,051.05
BAINBRIDGE MGMT	126010	2/8/2001	188,800.00
BAINBRIDGE MGMT	WIRE	3/12/2001	1,437,179.67
BAINBRIDGE MGMT	WIRE	4/26/2001	212,724.12
BAINBRIDGE MGMT	WIRE	6/28/2000	615,600.86
Subtotal			14,095,010.73